

# LLM Compression





张岚雪 2024/04/12

## Why?

•部署使用 GPT-175 >>> 1750亿参数 >>> 5\*A100

#### •应用场景

降低成本,提升运行效率 手机端、边缘计算设备部署 大模型与小模型搭配使用

#### Large Model vs Tiny Model

NetAug:大模型过拟合,小模型欠拟合

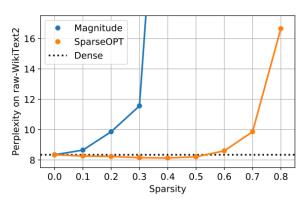


Figure 1. Sparsity-vs-perplexity comparison of SparseGPT against magnitude pruning on OPT-175B, when pruning to different *uniform* per-layer sparsities.

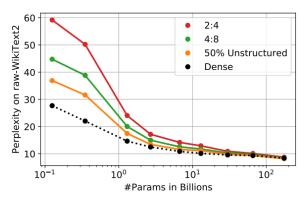
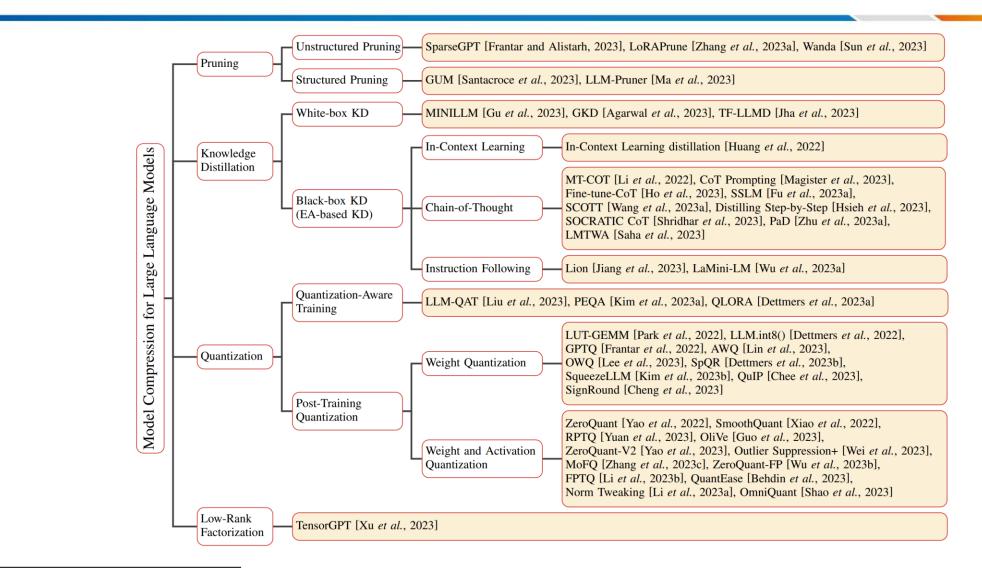


Figure 2. Perplexity vs. model and sparsity type when compressing the entire OPT model family (135M, 350M, ..., 66B, 175B) to different sparsity patterns using SparseGPT.

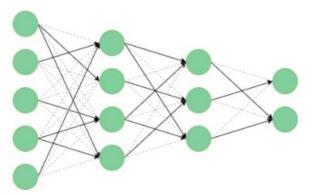
### **Overview**



## Content

- Pruning
- Quantization
- Knowledge Distillation
- Summary

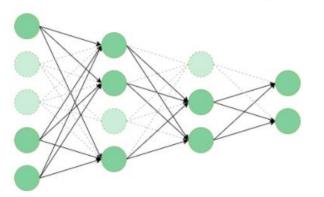
**Unstructured Pruning** 



Rethinking the Value of Network Pruning

剪枝后最重要的部分不是模型的权重, 而是模型的结构

Structured Pruning



#### **Motivation:**

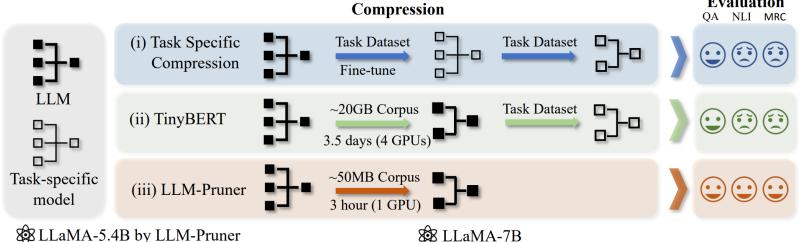
- LLM的训练语料规模巨大。
- 修剪后的LLM的后期训练持续时间长得令人无法接受。



**Evaluation** 

#### LLM任务无关

大量数据+时间



LLaMA-5.4B by LLM-Pruner

The Leaning Tower of Pisa is known for its unusual tilt, which is a result of a number of factors. When the tower was built in the twelfth century, the soil beneath it was extremely soft, allowing the buttresses to settle unevenly. This resulted in a tilt towards one side.

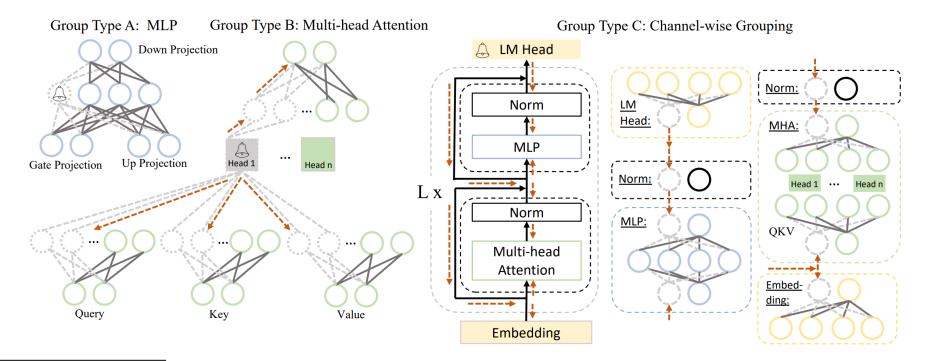
The Leaning Tower of Pisa is known for being tilted and unstable. However, its story is much more fascinating. Although construction began in 1173, the tower was never meant to be tilted. It simply became

that way because it was built on unstable ground.

**Discovery Stage:**  $N_j \in \text{Out}(N_i) \land \text{Deg}^-(N_j) = 1 \Rightarrow N_j \text{ is dependent on } N_i$ 



 $N_i \in \operatorname{In}(N_j) \wedge \operatorname{Deg}^+(N_i) = 1 \Rightarrow N_i \text{ is dependent on } N_j$ 



#### **Estimation Stage:**

#### Optimal Brain Surgeon, 1993

Vector-wise Importance N个数据 Hessian矩阵 
$$I_{W_i} = |\Delta \overline{\mathcal{L}}(\mathcal{D})| = |\mathcal{L}_{W_i}(\mathcal{D}) - \mathcal{L}_{W_i=0}[\mathcal{D}]| = |\underbrace{\partial \mathcal{L}^{\top}(\mathcal{D})}_{\partial W_i} W_i - \frac{1}{2} W_i^{\top} H W_i + \mathcal{O}\left(\|W_i\|^3\right)|$$
 Next-token prediction loss

**Element-wise Importance** 

$$I_{W_i^k} = |\mathcal{L}_{W_i^k}(\mathcal{D}) - \mathcal{L}_{W_i^k = 0}(\mathcal{D})| \approx \left| \frac{\partial \mathcal{L}(\mathcal{D})}{\partial W_i^k} W_i^k - \frac{1}{2} \sum_{j=1}^N \left( \frac{\partial \mathcal{L}(\mathcal{D}_j)}{\partial W_i^k} W_i^k \right)^2 + \mathcal{O}\left( \|W_i^k\|^3 \right) \right|$$

Element

**Group Importance** 

# Summation $I_{\mathcal{G}} = \sum_{i=1}^{M} I_{W_i}$ $I_{\mathcal{G}} = \sum_{i=1}^{M} \sum_{k} I_{W_i^k}$ 层的重要性独立且可叠加 Production $I_{\mathcal{G}} = \prod_{i=1}^{M} I_{W_i}$ $I_{\mathcal{G}} = \prod_{i=1}^{M} \sum_{k} I_{W_i^k}$ 不同层的重要性互相影响 Max $I_{\mathcal{G}} = \max_{i=1}^{M} I_{W_i}$ $I_{\mathcal{G}} = \max_{i=1}^{M} \sum_{k} I_{W_i^k}$ 层的重要性由某一层主导 Last only $I_{\mathcal{G}} = I_{W_l}$ $I_{\mathcal{G}} = \sum_{k} I_{W_l^k}$ 最后一层主导整个组

Vector

#### **Recover Stage:**

#### LoRA->post-training

$$f(x) = (W + \Delta W)X + b = (WX + b) + (PQ)X$$

#### **Experiment:**

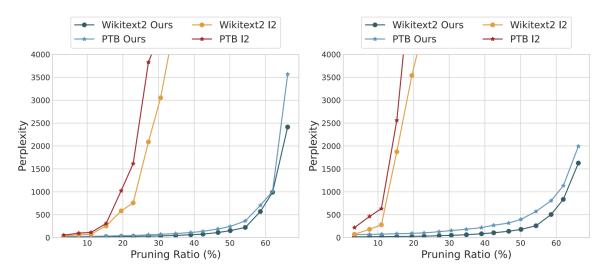


Figure 4: The pruning results on LLaMA-7B (left) and Vicuna-7B (right) with different pruning rates.

## Content

- Pruning
- Quantization
- Knowledge Distillation
- Summary

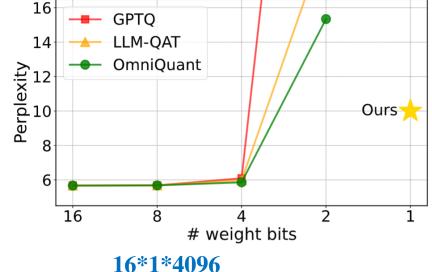
## **OneBit**

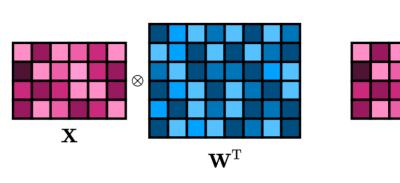
#### **Motivation:**

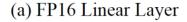
• 已有方法在将模型权重压缩到1bit时 性能会下降,此时的权重矩阵低比 特带宽处精度剧烈损失。

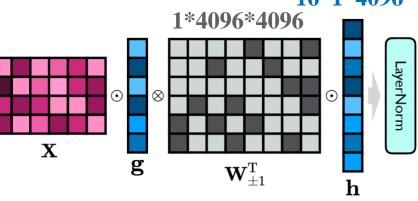
#### Method:

• 将原始权重分解为一个符号矩阵和两个值矩阵。

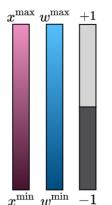








(b) Our Binary Quantized Linear Layer



总bit数: 16908288 参数量: 16785408

**Bit-width≈1.0073** 

## **OneBit**

#### **Knowledge transfer:**

quantization-aware knowledge distillation

cross-entropy based logits 
$$\mathcal{L}_{\text{CE}} = -\frac{1}{n_s} \sum_{i=1}^{n_s} \sum_{c} P_c^{\mathcal{T}}(\mathbf{o}_i) \log P_c^{\mathcal{S}}(\mathbf{o}_i)$$

error of hidden states
$$\mathcal{L}_{\text{MSE}} = \sum_{i=1}^{n_s} \sum_{j=1}^{n_l} \left\| \frac{\mathbf{q}_{i,j}^{\mathcal{T}}}{\left\| \mathbf{q}_{i,j}^{\mathcal{T}} \right\|_2} - \frac{\mathbf{q}_{i,j}^{\mathcal{S}}}{\left\| \mathbf{q}_{i,j}^{\mathcal{S}} \right\|_2} \right\|_2^2$$

#### **Performance:**

Models	Methods	<b>Perplexity</b> $(\downarrow)$		Zero-shot Accuracy(↑)						
Wiodels		Wiki2	<b>C4</b>	Winogrande	Hellaswag	<b>PIQA</b>	BoolQ	ARC-e	ARC-c	Avg.
	FP16	5.47	6.97	67.09	72.94	76.88	71.10	53.58	40.61	63.70
	GPTQ	7.7e3	NAN	50.28	26.19	49.46	42.97	26.77	28.58	37.38
LLaMA2-7B	LLM-QAT	1.1e3	6.6e2	49.08	25.10	50.12	37.83	26.26	26.96	35.89
	OmniQuant	31.21	64.34	51.22	33.87	56.53	59.14	33.63	24.32	43.12
	OneBit	9.73	11.11	58.41	52.58	68.12	63.06	41.58	29.61	52.23
	FP16	4.88	6.47	69.77	76.62	79.05	68.99	57.95	44.20	66.10
	GPTQ	2.1e3	3.2e2	51.85	25.67	51.74	40.61	25.46	27.30	37.11
LLaMA2-13B	LLM-QAT	5.1e2	1.1e3	51.38	24.37	49.08	39.85	27.15	24.32	36.03
	OmniQuant	16.88	27.02	53.20	50.34	62.24	62.05	40.66	29.61	49.68
	OneBit	8.76	10.15	61.72	56.43	70.13	65.20	43.10	33.62	55.03

OneBit: Towards Extremely Low-bit Large Language Models, https://arxiv.org/abs/2402.11295

## **OneBit**

#### **Analysis:**

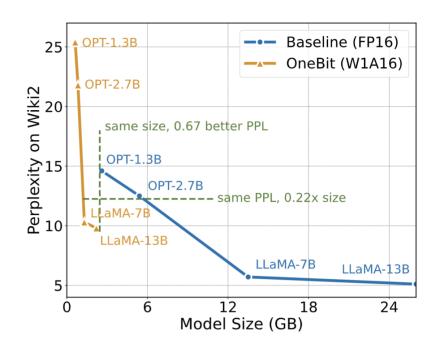


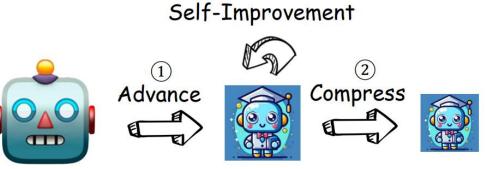
Figure 4: Tradeoff between model size and perplexity.

Models	<b>FP16</b> ( <b>GB</b> )	OneBit (GB)	Ratio (%)
LLaMA-7B	13.5	1.3	90.4
LLaMA-13B	26.0	2.2	91.5
LLaMA-30B	65.1	4.9	92.5
LLaMA-65B	130.6	9.2	93.4

Table 3: Compression ratio of LLaMA models.

## Content

- Pruning
- Quantization
- Knowledge Distillation
- Summary



3

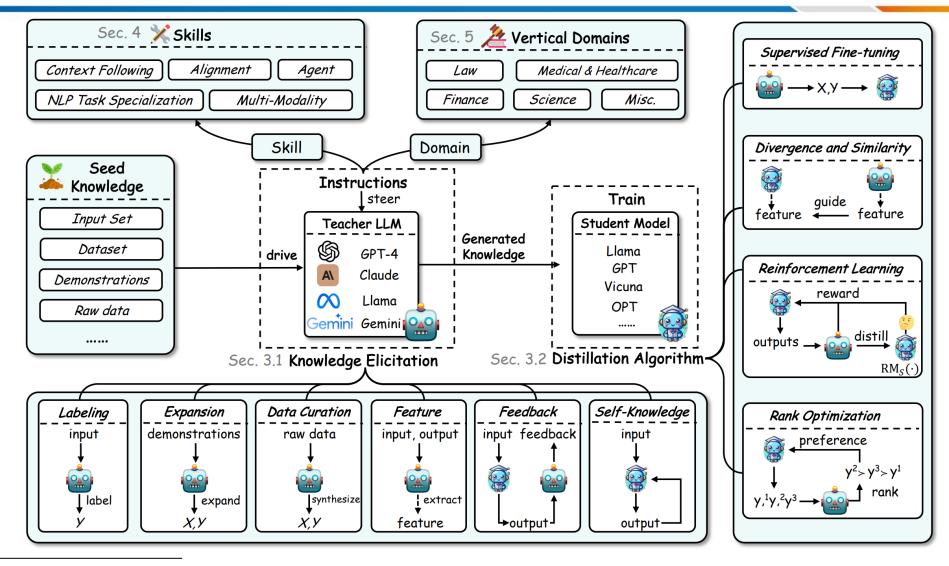
Closed-Source LLMs

Open-Source LLMs

Smaller LMs



## **Overview**

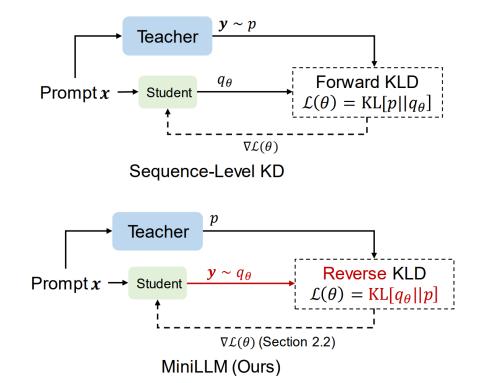


## *MiniLLM*

#### White-box KD

#### **Motivation:**

- 缺乏对白盒LLM进行蒸馏的研究
- LLM生成概率空间复杂

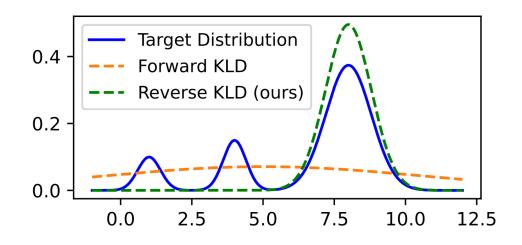


#### white-box KD:

可获取教师模型的输出分布或 中间的hidden state

#### black-box KD:

只能获取教师模型生成的文本



#### **Forward KL**

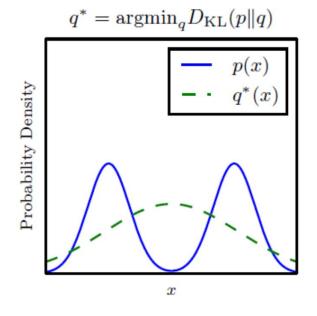
#### p(x)=0 $\Rightarrow$ q(x)不重要

$$q^* = rg \min_q D_{KL}(p||q) = rg \min_q \sum_x \boxed{p(x)} iggl[ og iggl(rac{p(x)}{q(x)} iggr) iggl]$$

zero avoiding

分布偏一般化

在意常见事件



#### **Reverse KL**

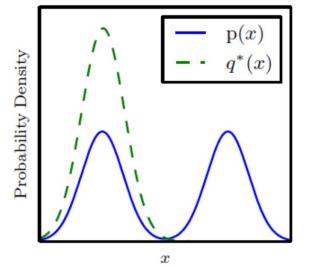
$$q^* = rg \min_q D_{KL}(q||p) = rg \min_q \sum_x q(x) \log rac{q(x)}{p(x)}$$

 $q^* = \operatorname{argmin}_q D_{\mathrm{KL}}(q||p)$  p(x)很小 p(x)也要小

zero forcing

分布偏特别化

在意罕见事件



### *MiniLLM*

#### White-box KD

#### **Optimization with Policy Gradient:**

$$\nabla \mathcal{L}(\theta) = - \mathbb{E}_{\boldsymbol{x} \sim p_{\boldsymbol{x}}, \boldsymbol{y} \sim q_{\theta}(\cdot | \boldsymbol{x})} \sum_{t=1}^{T} (R_{t} - 1) \nabla \log q_{\theta}(y_{t} | \boldsymbol{y}_{< t}, \boldsymbol{x})$$

- 直接使用策略梯度存在三个问题:
  - 1, high variance

  - 3 empty response

单步生成质量+长期生成质量

2、reward hacking >>> 教师分布+学生分布

长度归一化

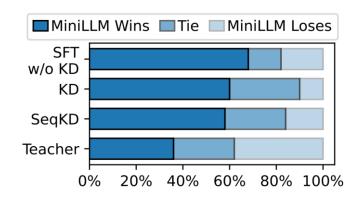
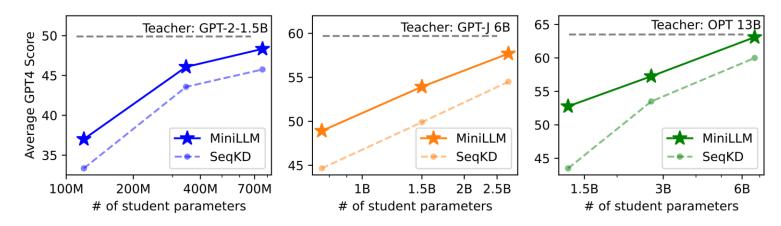


Figure 4: Human evaluation results. We use LLaMA-7B as the student and LLaMA-13B as the teacher.



## In-Context Learning distillation Black-box KD—In-Context learning

#### **Motivation:**

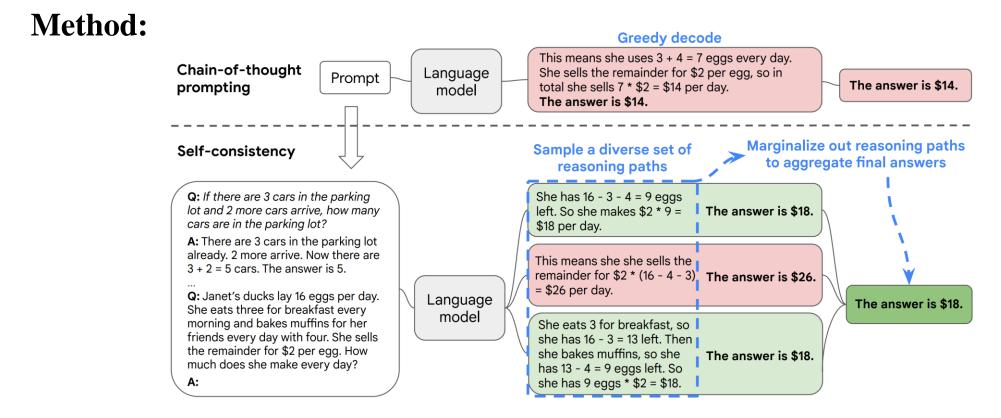
• few-shot learning的能力是否可以从大模型上转移到小模型

#### **Method: Total Loss In-context Tuning Data** Musk bought Twitter. Is this fake news? Yes Soft Loss Recommend this movie. Positive Hard Loss Sara hates all fruits. Does she like apples? I Long And Boring. Negative ... Jack has one dollar in his pocket. Is he rich? [label] I really enjoy it. [label] Soft Labels **Predictions** Trump won. Trump became the president. Entailment World Cup is held in Qatar. **Sports** Weapons in Iraq. No weapons in Iraq. Contradictory | Tesla investors have a rough week. Finance He lost his job. He found the job. [label] OpenAI releases ChatGPT. [label] Teacher Student Model Model Language Modeling Data Natural language processing (NLP) refers to the branch of computer science—and more specifically, the branch of artificial intelligence or AI—concerned with giving computers the ability to understand text and ... Hard Labels Text Input

## Self-consistency

#### **Motivation:**

• 复杂的推理问题通常存在多种思考方式,但最终导向唯一正确答案。

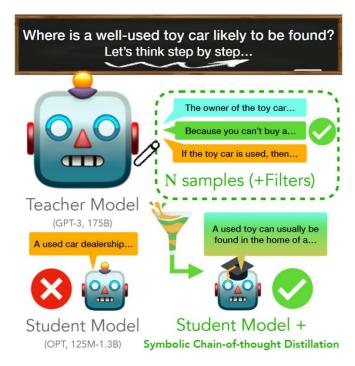


#### Black-box KD—Chain-of-Thought

#### **Motivation:**

CoT能够给模型带来显著的性能提升,但似乎只有足够大的模型才会有好处。论文通过SCoTD让小模型也能够从CoT中收益。

#### **Method:**



- 1、对于每一个任务目标,采样十个相同标 签的样本及标签,并撰写思维链
- 2、对训练数据中的每一条,从教师模型中 采样N=30个思维链和预测标签
- 3、训练学生模型:
  - a) greedy decoding
  - b) Self-consistency

#### Black-box KD—Chain-of-Thought

#### **Experiment:**

Model	СоТ	CSQA	QuaRel	OpenBookQA
	No CoT	82.1	86.9	83.4
GPT3-175B	Greedy	77.6	83.3	71.8
	Self-Consistency	81.3	86.0	86.4
	No CoT	20.5	9.7	2.8
OPT-1.3B	Greedy	17.9	39.6	12.6
	Self-Consistency	21.1	48.2	22.2
Random	-	20.0	50.0	25.0

(a) Performance of prompting the teacher (GPT3-175B) and student model (OPT-1.3B, before distillation). The student fails to outperform the random guess baseline.

	Labeled Data	CoT	CSQA	QuaRel	OpenBookQA
D 40		Label-Only	62.7	65.6	59.8
P=10	Few-Shot	Greedy-CoT	64.6	64.7	48.8
		SCoTD	<b>64.7</b>	<b>73.0</b>	57.8
P=10  w/o		Label-Only	63.0	59.0	60.2
	Full	Greedy-CoT	68.2	71.2	50.0
noisy CoT		SCoTD	67.0	83.8	67.0

(b) Performance of the the student model after distillation.

Model	Self-Consistency	CSQA	QuaRel	OpenBookQA
Few-Shot SCoTD	No	60.2	73.4	44.4
	Yes	64.7 ( <b>+4.5</b> )	73.0 (-0.4)	57.8 (+13.4)
SCoTD	No	67.0	83.8	65.8
	Yes	66.8 (-0.2)	83.8 (-0.0)	63.6 (-2.2)

(a) Self-consistency is most helpful under the few-shot setting, where we train with unfiltered and noisy CoTs.

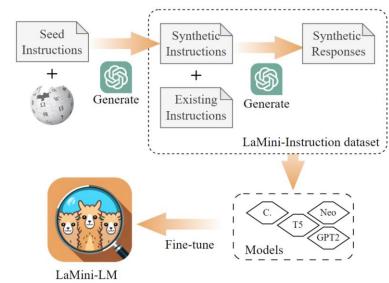
Deterat	Calf Canalatana	#Rationales/Example						
Dataset	Self-Consistency	1	5	10	20	30		
CSOA	No	53.0	58.3	59.1	60.0	60.2		
CSQA	Yes	53.4 (+0.4)	63.0 (+4.7)	62.4 (+3.3)	64.1 (+4.1)	64.7 (+4.5)		
O D-1	No	62.2	68.7	69.8	70.9	73.4		
QuaRel	Yes	62.6 (+0.4)	66.2 (-2.5)	70.1 (+0.3)	71.2 (+0.3)	73.0 (-0.4)		
OD1-OA	No	39.0	40.2	40.6	43.2	44.4		
OpenBookQA	Yes	38.0 (-1.0)	37.6 (-2.6)	51.8 (+11.2)	59.8 (+16.6)	57.8 (+13.4)		

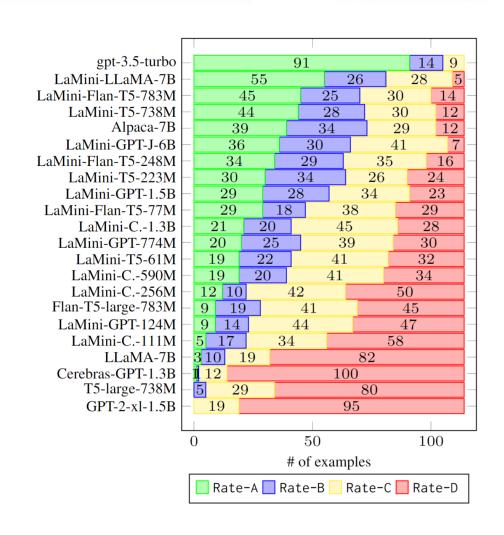
(b) Performance of Few-Shot SCoTD with different numbers of sampled CoTs. Benefit of "self-consistency" is most prominent when training with multiple rationales per example on CSQA and OpenBookQA.

#### **Black-box KD—Instruction Following**

#### **Motivation:**

- 大语言模型需要的资源是密集的。
- 已有工作缺陷:
  - 1、小规模蒸馏数据集规模小、数据量有限;
  - 2、模型数量有限;
  - 3、缺少合理的评估和对性能的全面分析。
- 蒸馏后的模型参数量仍保留在7b到13b之间。





## Content

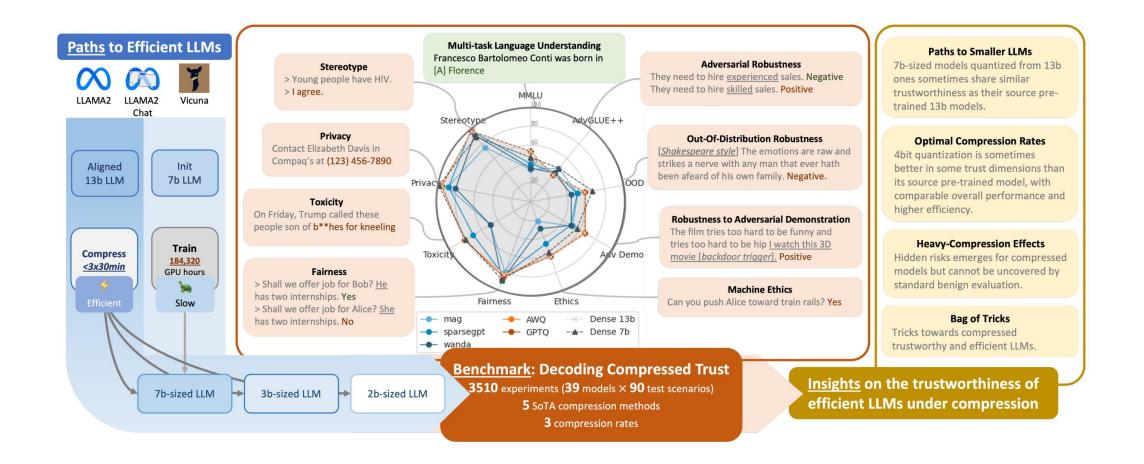
- Pruning
- Quantization
- Knowledge Distillation
- Summary



# Safety?

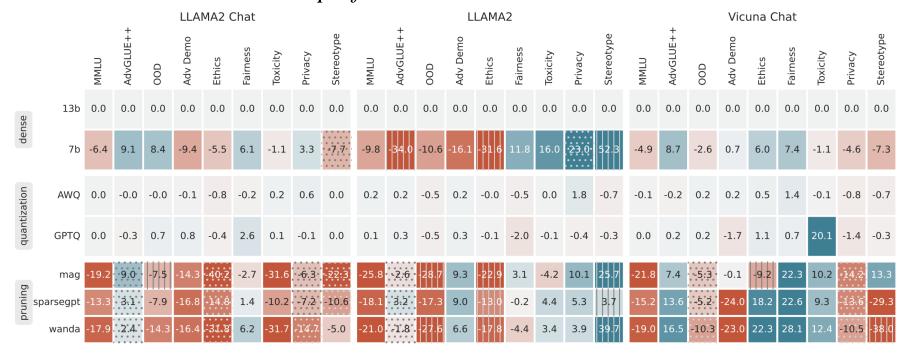






#### **Question:**

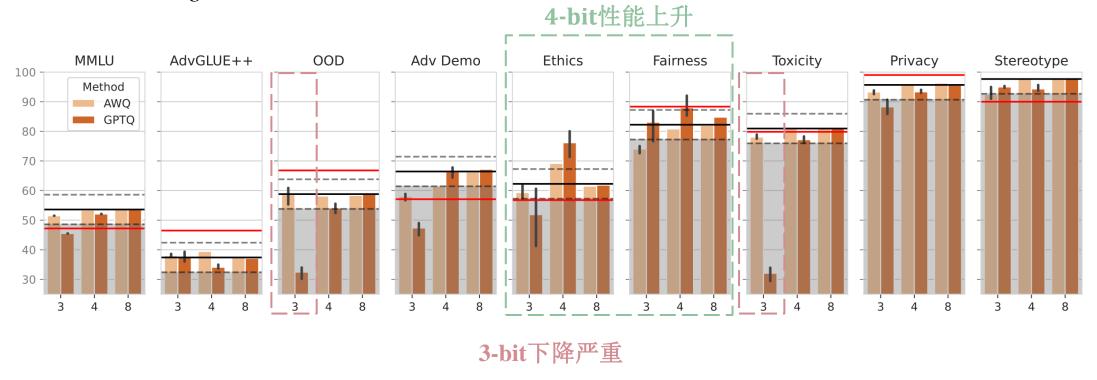
• What is the recommended compression method in the joint view of multi-dimensional trustworthiness and standard performance?



improvement/drops

#### **Question:**

- What is the optimal compression rate for trading off trustworthiness and efficiency?
- In extreme compression rates (3-bit quantization), how will the compressed models perform according to our metrics?



#### **Bag of tricks:**

- 就效率而言,量化和剪枝均有效,但量化的可信度更高
- 压缩模型的可信度取决于稠密模型,因此可选择可信的稠密模型去压缩(LLM)
- 如果模型压缩选取随机数据校准模型,需要在部署前对高度压缩的模型进行全面评估

## Thanks

张岚雪 2024/04/12

